

PCT Rec'd PTO U6 APR 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>6111.001-01</b>
INTERNATIONAL APPLICATION NO. <b>PCT/AU04/001389</b>	INTERNATIONAL FILING DATE <b>October 12, 2004</b>	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/114/5320</b>
		PRIORITY DATE CLAIMED <b>October 13, 2003</b>
TITLE OF INVENTION <b>Process for Recycling Waste Plastics</b>		
APPLICANT(S) FOR DO/EO/US <b>Lark et al.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input checked="" type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known; see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
<b>INIA 515320</b>	<b>PCT/AU04/061389</b>	<b>6111.001-01</b>

20. Other items or information:

1) Postcard

The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a)).....				\$ 300	
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200				\$ 200	
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500				\$ 400	
<b>TOTAL OF 21, 22 and 23 =</b>				<b>900</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
29 - 100 =	0/50 =	0	x \$250	\$ 0	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	26 - 20 =	6	x \$ 50	\$ 300	
Independent claims	1 - 3 =	0	x \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$
				<b>TOTAL OF ABOVE CALCULATIONS =</b>	<b>\$ 300</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					
				<b>SUBTOTAL =</b>	<b>\$ 1,200</b>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+ \$	
				<b>TOTAL NATIONAL FEE =</b>	<b>\$ 1,200.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$	
				<b>TOTAL FEES ENCLOSED =</b>	<b>\$</b>
				Amount to be refunded:	\$
				Amount to be charged	\$

10/575320

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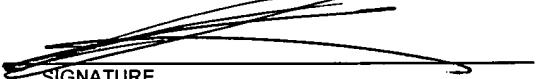
IAP20 Rec'd 06 APR 2006

- a.  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Thomas D. Foster  
12760 High Bluff Drive  
Suite 300  
San Diego, CA 92130

  
\_\_\_\_\_  
SIGNATURE  
\_\_\_\_\_  
Thomas D. Foster  
NAME  
\_\_\_\_\_  
44,686  
REGISTRATION NUMBER

**10/575320**



**IAP20 Rec'd PCTD Foster Intellectual Property Law**

**Biotech/Chemical**

**Electrical/Mechanical**

**Computer/Software**

**Business Methods**

**12760 High Bluff Drive, Suite 300**

**San Diego, CA 92130**

**ph: 858.922.2170 foster@tdfoster.com**

**Thomas D. Foster, Patent Attorney**

**Mail Stop Patent Application**

**"Express Mail" Mailing Label Number: EV 706444412 US**

**Date of Deposit: April 6, 2006**

**Commissioner for Patents**

**P.O. Box 1450**

**Alexandria, VA 22313-1450**

**Re: Utility Patent Application**

**Entitled: PROCESS FOR RECYCLING WASTE PLASTICS**

**Appl. No.: To be determined**

**Filed: Herewith**

**Inventors: Lark et al.**

**Our Ref.: 6111.001-01**

**Sir:**

**The following documents are forwarded herewith for appropriate action by the United States Patent and Trademark Office:**

- 1. Transmittal Letter to the US Designated/Elected Office (in duplicate);**

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October 6, 2005  
Page 2

**2. Complete Published PCT Patent Application entitled**

**PROCESS FOR RECYCLING WASTE PLASTICS**

**and naming as inventors**

**David J. Lark; and**

**William A. Howell**

**the utility application comprising:**

- a) Total pages of application: [29]**
- b) Pages of specification: [23];**
- c) Pages of Title Page: [1];**
- d) Pages of Abstract: [1];**
- e) Pages of Drawings: [1];**
- f) Pages of Claims: [3]; [29] Claims;**

- 3. One Declaration;**
- 4. One International Search Report;**
- 5. One Preliminary Amendment; and**
- 6. One Return Post Card.**

**It is respectfully requested that the attached postcard be stamped with the filing date and unofficial application number and returned as soon as possible.**

**The following attorney is the attorney of record for prosecuting this application and transacting all business in the USPTO connected therewith:**

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October 6, 2005  
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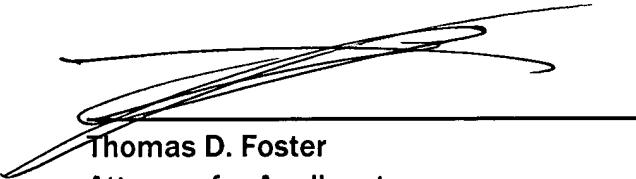
Thomas D. Foster, Esquire  
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Please send all correspondence and direct all telephone calls to:

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Respectfully Submitted,

TDFoster

  
Thomas D. Foster  
Attorney for Applicant  
Registration No. 44,686

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DATE OF DEPOSIT: April 6, 2006

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UTILITY  
PATENT APPLICATION

On

PROCESS FOR RECYCLING WASTE PLASTICS

BY

David J. Lark and William A. Howell

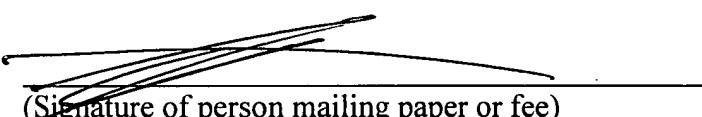
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Thomas D. Foster  
(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

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San Diego, CA 92130

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